

The Statutes regulate the activities and breeding work of the Breeding Society according to the specific provisions of the Breeding Program.

Statutes

of the

FRIESENPFERDE ZUCHTVERBAND e.V.
state-approved Breeding Society

(FPZV)

As per March 10, 2018

**Approved by the Members' Assembly
in Wickrath on March 10, 2018**

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A. Legal Provisions Governing Societies

A.1 Name and Registered Office

- (1) The Society bears the name “Friesenpferde-Zuchtverband e.V.”. It is registered in the register of societies.
- (2) The residence of the Society is in Koblenz. In accordance to § 17 sentence 2 ZPO the residence is the location where the Society’s office is situated. For organizational purpose the Society’s office and the Stud Book administration can be located independently from the residence of the Society.
- (3) The fiscal year is the calendar year.

A.2 Purpose

- (1) Purpose of the Breeding Society is the promotion of breeding, horse keeping and dissemination of the classical Friesian Horse of Dutch origin in any appropriate manner of good quality and breed type according to the provision of the Statutes and the Breeding Program.
- (2) The Breeding Society exclusively and directly pursues non-profit purposes in accordance to legal provisions governing societies. Any profits may only be used for statutory purposes. The bodies of the Society work on a voluntary basis, members do not receive any profit shares or payments from the Society’s funds. The Society does not favor individuals by means of non-administrative expenditure or disproportional high remuneration. The Society is mainly financed by membership fees.
- (3) The FPZV is entitled to build up reserves within the framework of tax regulations.

A.3 Members

- (1) The Breeding Society has ordinary and honorary members. Ordinary members and honorary members may be natural persons, societies, associations or public corporations. Irrespective of membership in another Breeding Society, consortium or other association conducting horse breeding, all owners of Friesian Horses registered in the Breeding Book of the FPZV must be ordinary or honorary members of the FPZV.
- (2) In case the number of members with foreign nationality from the respective country exceeds the limit of 15 to a maximum of 20 persons, a separate subsidiary organization is to be established in the respective country to coordinate these members. These members are to be supervised within the framework of a cooperation agreement to be concluded between the respective subsidiary organization of the country and the Society. The voting rights cannot be exercised directly by the members of this subsidiary

organization, but only by this subsidiary organization itself and is limited to one vote per one hundred members. The voting right is exercised by a legitimate representative of this subsidiary organization.

A.3.1 Ordinary Members

- (1) Ordinary members; members in possession of at least one Friesian Horse or members who choose an ordinary membership.
- (2) Union members; natural persons related (parents or children) to an ordinary member or living in the household of an ordinary member.
- (3) Youth; all members under the age of 18 as well as apprentices and students (persons until the completion of the 28th year of life with corresponding proof of apprenticeship or studies).
- (4) Supporting members; members not owning a Friesian Horse or whose Friesian Horses are exclusively registered in the Foal Book of the FPZV.

A.3.2 Honorary Members

Natural persons that have rendered outstanding service to the Society can be appointed honorary members. They are appointed as honorary members by the Members' Assembly. They are exempt from membership fees.

A.4 Acquisition of Membership

- (1) Breeders within the objective field of activity and geographical area of the Breeding Society, which fulfil the conditions of flawless breeding work, have a right to membership, provided their activities do not interfere with the non-profit status of the Society.
- (2) Applications for admission are to be sent to the Society Office in writing. Legal persons must submit their statutes in addition to their application.
- (3) The Committee decides on acceptance of the applications for admission. The Committee may, in particular, reject the admission of a member if suspecting dishonest intentions. The applicant will be informed of the acceptance or rejection of the admission in writing.
- (4) Honorary members are appointed by the Members' Assembly on proposal of the Committee.
- (5) The ordinary membership begins with the payment of the entry fee and the annual contribution.

A.5 Loss of Membership

Membership ends in case the following events or changes occur.

- (1) Membership of natural persons ends through death, membership of legal persons ends through their dissolution, furthermore by cancellation of the membership within a 3-month cancellation period to the end of the fiscal year. The cancellation is to be sent to the Society Office in writing.
- (2) Membership also ends if the Committee declares the exclusion of a member. The Committee is entitled to do so, in particular, if a member fails to pay his fees despite of two written reminders and/or grossly neglected the membership obligations or acted against the interests and reputation of the Society and/or can no longer guarantee flawless breeding work. A member must be excluded if guilty of malicious deception of the Society or malicious deception in connection with breeding activities. Exclusion from membership results in immediate loss of members' rights, but does not exempt from fulfilment of financial obligations to the Society. The exclusion becomes effective with a written notice stating respective a date.
- (3) Exclusion from the Society is decided on by the Committee. Before the decision is taken, the member concerned is to be given the opportunity to make a personal or written statement.
- (4) The decision on the exclusion is to be communicated to the member, stating the reasons, by means of a registered letter. The member may call the arbitral tribunal in accordance with A.7 of the Statutes against the exclusion decision of the Committee. The call must be submitted to the Committee in writing within one month following the date of receipt of the exclusion decision, which must be presented to the arbitral tribunal for decision.
- (5) If qualifications for ordinary membership according to A.4 cease to exist, the membership rights are to end.
- (6) A resumption to the Breeding Society following exclusion or cancellation is possible at the earliest after one year, if facts suggest that proper breeding work is guaranteed again.

A.6 Rights and Obligations

A.6.1 Rights of Members

- (1) A breeder has the right to membership and participation in the breeding program within the objective field of activity and geographical area of the Breeding Society
- (2) All ordinary members are equal and have a non-delegable right to make requests and vote in the Members' Assembly.
- (3) Offices according to No. 10 of these Statutes may only be held by ordinary or honorary members who have been members of the Society for at least one year preceding the day of their election.
- (4) Members under the age of 18 have the right to make requests. A voting right can only be exercised after completing the 18th year of life.
- (5) For carrying out duties and holding offices according to the Statutes, an ordinary or honorary membership of a natural person, except for youth under 18, is a prerequisite.
- (6) The voting right of societies, associations and public corporations is limited to one vote. Irrespective of this, members of the Society have the possibility to make their voice heard through an ordinary membership of the FPZV.
- (7) Breeders have a right to register their purebred breeding horses as well as their purebred descendants in the Main Section of the Stud Book of the breed, provided that all registration regulations are fulfilled and the breeder participates in the approved breeding program of the FPZV.
- (8) Members have the right to receive a breeding certificate for their breeding animals participating in the breeding program.
- (9) Members have the right to participate in performance tests and breeding evaluations as well as receiving the current results of the performance tests and breeding evaluations on request, provided the data is available.
- (10) Members have the right to access all services provided by the Breeding Society to breeders participating in the conducted breeding program.
- (11) Members have the right to participate in the determination and further development of the breeding program in accordance with the provisions of these Statutes.

A.6.2 Obligations of Members

All members have following obligations:

- (1) to adhere to the Statutes as well as the Breeding Program,

- (2) to support the Society in achieving its objectives and to refrain from anything which might damage the reputation and interests of the Society,
- (3) to present registered horses and their offspring to the bodies of the Breeding Society and its representatives, to provide information which are in the interest of promoting breeding and to give an insight into the breeding documents of the holding,
- (4) to provide the Breeding Society with all necessary data for the statutory conduction of the breeding program,
- (5) to tolerate the publication of breeding relevant data of all horses bred, possessed or formally possessed by them,
- (6) to pay contributions, fees and levies decided on by the organs of the Society. Membership fees and other receivables are collected via the SEPA basic direct debit procedure. The Society is to be granted a SEPA direct debit mandate. The member must ensure the coverage of the related accounts to ensure that membership fees and other receivables required for the management of the Society are made available in a timely manner. The FPZV collects the membership fees annually on February 15th, using the creditor identification number DE19ZZZ00000244940 and the mandate reference number (membership number). If the date does not fall on a bank working day, the collection will be conducted on the next bank working day. If the SEPA direct debit mandate is not issued in a timely way, an increased fee will be charged in accordance with the fee rules,
- (7) to notice the Society of changes in your personal circumstances in writing. These include in particular:
 - a) address changes,
 - b) changes to the bank account when using a direct debit mandate,
 - c) personal changes relevant to the contribution system.
- (8) No claims against the Society arise from disadvantages following the non-fulfillment of the notification obligations. The member is obliged to compensate the Society for disadvantages or damage following the nonfulfillment of obligations according to this paragraph.
- (9) If members claim special services from the Society, the Society Office or the Committee that are not part of its original field of activity, the service is only to be provided if the respective member pays for the service. As well as,
- (10) to comply with animal breeding regulations.

A.6.3 Rights and Obligations of the Breeding Society

- (1) The Breeding Society is entitled to exclude breeders who do not comply with the rules of the Statutes or Breeding Program or who fail to meet their statutory obligations.
- (2) The Breeding Society is responsible for the proper and statutory conduction of the breeding program, for the correct and complete recording of pedigree and performance data as well as legally compliant identification of horses registered in its Stud Books.
- (3) The Breeding Society is obliged to comply with data protection regulations and may only pass data to third parties if necessary for conducting the breeding program according to the Statutes.
- (4) The Breeding Society is obliged to settle disputes between breeders and the Breeding Society in respect to the conduction of the approved Breeding Program according to No. A.7 of the Statutes
- (5) The Breeding Society is obliged to conduct its work in such a manner that the rights of the members are respected, while ensuring equal treatment for all members.
- (6) Services in the framework of the Breeding Program for horses of the original field of activity may only be granted to members. The FPZV is, however, entitled to provide services to nonmembers on a contractual basis, e.g. in case of a legitimate interest of the nonmember or if breeding work is threatened. Members of cooperating Societies can require the services of the FPZV. Services of the FPZV are subject to charges.
- (7) The Society lists its members. The distribution of member lists is not permitted. The Committee decides on exceptions. The following lists are kept:
 - a) list of honorary members
 - b) list of ordinary members
 - c) list of union members
 - d) list of youth members
 - e) list of supporting members
- (8) The member lists contain name and addresses of the members.
- (9) The member lists must be kept up to date by the Society Office. They are regularly submitted to the acknowledging authority.
- (10) As an annex to the member list, a stallion register is published annually which informs members about the essential data of stallions possessed by members. The stallion register is to be published as an independent publication, if needed, and made available to all interested parties. The recognizing authority receives the stallion register according to the valid status.

A.7 Court of Arbitration

(1) For disputes between

- members of the Breeding Society,
- the Breeding Society and its members,

which ensue from the affiliation of the members to the Society or the statutory activities and tasks of the Breeding Society, an arbitration court is to be formed.

(2) The arbitration court consists of the Chairperson of the Breeding Society and at least two assessors. The assessors must be active Breeders of the Breeding Society. Each of the disputing parties appoint one assessor.

(3) The decision of the court of arbitration applies immediately after announcement.

(4) Procedures and decisions are conducted in accordance with § 125ff. ZPO.

A.8 Privacy/Personal Rights

(1) The Society collects, processes and uses personal data of its members (individual information on personal and factual matters) using electronic data processing to conduct functions and tasks in accordance with the Statutes, e.g. in the context of member administration. Member data of primary interest are: Name and address, bank details, telephone numbers (landline and mobile), e-mail address, date of birth and functions in the Society.

(2) As a member of the „Deutschen Reiterlichen Vereinigung (FN) e.V.“ the Society is obligated to report certain personal data to the FN. The data is transmitted to Deutsche Reiterliche Vereinigung (FN) e.V. Bereich Zucht, Freiherr-von-Langen Str. 13, 48231 Warendorf, Germany.

(3) In connection with its breeding activities as well as other statutory events, the Society publishes personal data and photos of its members in its publications as well as on its homepage and transmits data for publication to print, tele and digital media outlets. This applies in particular to lists of participants, competition results, stallion licensing results, evaluation results, election results as well as information on the attendance of members of the committee or other officials at events. The publication/transmission of data is limited to name, association, function in the Society and, if necessary for factual reasons, age or year of birth. A member may at any time object the publication of his individual photos. The publication/transmission of the photos is ceased and pictures are to be removed from the homepage upon the date of reception of the objection.

- (4) The Society reports on the honoring and birthdays of its members on its print publications and homepage. Therefor photos and personal member data is published.
 - a) Name
 - b) Association and duration
 - c) Function in the Society
 - d) Age or year of birth (if necessary for factual reasons)
- (5) Reports on honoring of its members as well as name, function in the Society, association and duration, may also be transmitted by the association to print, tele and digital media outlets.
- (6) Regarding honoring and birthdays, the respective member may at any time object to the publication/transmission of individual photos as well as his personal data in general or for specific events. The objection is to be stated to the committee. The Society is to inform the member in good time of an intended publication/transmission in this matter as well as the opposition period. If the opposition is exercised in due time, the publication/transmission is not to be carried out. Otherwise the Society removes personal data and individual photos of the member from its homepage and refrains from future publications.
- (7) Member lists are published as a file or in printed form to members of the Committee and other officials in case their possession is required for the conduction of their function or specific tasks.
- (8) By membership and the associated recognition of these Statutes, members agree on the collection, processing (storage, alteration, transmission) and usage of their personal data in the aforementioned extent. Any other use of data beyond the fulfilment of its statutory duties and purposes is only permitted to the Society if obliged to do so for legal reasons. A sale of data is not permissible.
- (9) Within the framework of the regulations of the Bundesdatenschutzgesetz (especially §§ 34, 35) every member is entitled to receive information on the individual data stored, its recipients and the purpose of the storage as well as the right to demand corrections, deletion and blocking.

A.9 Membership Fees and Schedule of Fees

- (1) Membership fees are decided on by the Members' Assembly and published by the Committee in the schedule of fees on the homepage (www.friesenpferde-zuchtverband.de).
- (2) Membership fees are collected in the form of an annual fee according to the schedule of fees.
- (3) Levies up to twice the current membership fee might be collected by the Committee to cover special expenses of the Society's operations. A Committee decision on the collection of a levy must be unanimously approved by the Committee.
- (4) Members are obliged to pay for levies.

A.10 Organs of the Breeding Society

- (1) Organs of the Society are:
 - the Members' Assembly
 - the Committee
 - the extended Committee
- (2) Organs of the Society, except for the Breeding Director, conduct their work for the Society on an honorary basis.

A.10.1 Members' Assembly

- (1) The ordinary Members' Assembly is to be convened once a year within the first five month of the fiscal year.
- (2) An extraordinary Members' Assembly is convened by the Chairperson based on the decision of the Committee or on written request of at least 1/5 of the total number of members.
- (3) The Chairperson or his deputy are invited to the Members' Assembly by letter or e-mail at least three weeks in advance.
- (4) The agenda for the Members' Assembly is determined by the Committee and shall at least include the following points:
 - a) Report on the past fiscal year by the Chairperson or his deputy,
 - b) Presentation of the financial accounts and financial situation,
 - c) Reporting by the cash auditors,
 - d) Discharge of the Committee,
 - e) Any necessary reelection of the Committee,
 - f) Discussion of proposals received in due time.

- (5) Proposals for the agenda of the Members' Assembly are to be submitted in writing to the Committee at least one week before the assembly.
- (6) Proposals on matters not included on the agenda may only be accepted to be voted on if the majority is in favor to do so unless the Statutes or law prescribe otherwise.
- (7) The Members' Assembly has a quorum regardless of the number of members present. Each member has one vote. A transfer of the voting right as well as a representation are not allowed. In the case of votes, decisions are passed by a simple majority of cast votes. In the event of a tie, a proposal is considered rejected. Amendments to the Statutes are to be communicated in the agenda and to be announced with the invitation and require the consent of at least $\frac{3}{4}$ of the votes cast.
- (8) Decisions of the Members' Assembly are to be recorded. The minutes is to be signed by the Chairperson of the Assembly and the recording clerk.
- (9) The Members' Assembly has the following tasks:
 - a) Acceptance of the annual report
 - b) Acceptance of the report of the cash auditors
 - c) Acceptance of the financial accounts and discharge of the Committee
 - d) Election of the Committee
 - e) Election of two cash auditors
 - f) Appointment of honorary members
 - g) Decisions on Statutes and Breeding Program
 - h) Resolution on the schedule of fees as proposed by the Committee
 - i) Decision on the assignment of third parties with technical tasks (e.g. management of the Stud Book) or performance testing and breeding evaluation
- (10) Notwithstanding the special provisions on amendments to the Statutes of the Society and the dissolution of the Society, the Members' Assembly shall decide with a simple majority of the votes cast, regardless of the number of members present.
- (11) Voting will be made secret on request.
- (12) Invalid votes will be counted as uncasted votes in case of written votes. Abstentions are counted as uncasted votes in the case of oral votes.
- (13) In the event of a tie vote, the vote is to be repeated following a brief debate. If the tie is maintained even after a second vote, the proposal is to be deemed rejected.
- (14) An extraordinary Members' Assembly may be convened by the Committee at any time according to the formal requirements of these Statutes and the following rule. It is to be convened if one third of the ordinary members entitled to vote make a written proposal including a justification to do so. The rules governing the ordinary Members' Assembly apply accordingly.

- (15) For four fiscal years, two cash auditors are elected from among the ordinary members of the Members' Assembly. The auditors are to examine the accounting and financial statements of the previous fiscal year, to draw up a report on the outcome of the audit and to report orally to the Members' Assembly.

A.10.2 Committee

- (1) The Committee consists of:
- a) the Chairperson
 - b) the Vice-Chairperson
 - c) the Treasurer
 - d) the Recording Clerk
 - e) the Head of Public Relations
 - f) two additional members of the Committee

The Committee within the meaning of § 26 BGB is the Chairperson, the Vice-Chairperson, the Treasurer, the Recording Clerk, the Head of Public Relations and the two additional members.

- (2) Representation regulation

Two of the aforementioned members of the Committee represent the Society in court and out of court.

- (3) Electoral regulations/withdrawal regulations

- a. Members of the Committee are elected by the Members' Assembly by ordinary and extraordinary members for four years from the ranks of the ordinary members. The election is conducted in separate ballots. The individual receiving the majority of the votes is elected as a member of the Committee.
- b. Following cycle of election applies: half of the members of the Committee are elected every two years for four years. Following offices are therefor available for election:
 - aa) the Chairperson, the Recording Clerk, the Head of Public Relations and one additional member of the Committee.
 - bb) the Vice-Chairperson, the Treasurer and one additional member of the Committee.
- c. If the Committee is elected on an extraordinary Members' Assembly, the term of office of the elected members of the Committee lasts aa) until the next ordinary Members' Assembly plus four years and bb) until the next ordinary Members' Assembly plus two years.

- d. In case four members of the Committee resign before the end of their regular term of office, the entire Committee is to be re-elected. Elections must be held within three months.
- e. If a member of the Committee withdraws from office through death, resignation or a procedure of expulsion, members of the Committee are entitled to hold an additional election at the next annual Members' Assembly. Until then, the Committee is entitled to appoint an ordinary member as a provisionally replacement.
- f. The person receiving the majority of the votes cast is elected. If no decision is made after the first ballot, further elections will be held between the two candidates who received the most votes in the first ballot.
- g. Members of the Committee remain in office until the re-election of the Committee.

(4) Tasks and working procedures of the Committee

- a. The Chairperson or if unable to do so the Vice-Chairperson convenes Committee and commission meetings as well as the Members' Assembly. He heads the meetings.
- b. The Committee carries out the decisions of the Members' Assembly. The Committee is responsible for the affairs of the Society, as far as the Statutes do not stipulate otherwise. In particular, the Committee may appoint special commissions and their members as well as representatives to other Societies and organizations, as long as those tasks do not necessarily have to be conducted by the Committee according to the Statutes of the Society.
- c. For the ongoing work, the Committee establishes a Society Office and a Stud Book Office including personal and technical capacities to fulfill the statutory tasks in a timely and effective manner. In order to fulfill the statutory duties of the FPZV, in particular for non-profit purposes, the Committee may appoint a service provider or service organization to perform organizational, marketing and administrative tasks. In this case the Committee may draw up rules of procedure which are not part of the Statutes.
- d. The Committee may give itself rules of procedure including substitution rules for conducting its tasks. The rules of procedure are not part of the Statutes.
- e. The Committee is quorate when four board members are present.
- f. Each meeting shall be completed with a transcript to be signed by the head of the meeting and the recording clerk.

- g. The Committee decides by a simple majority of the votes cast. In the case of a tie, two further votes will be held following a debate. If the votes end up tie again, the proposal is rejected and can be put to the vote again at the next Committee meeting.
- h. The Committee meets at least four times a year in person and is convened by the Chairman. At the request of at least three members of the Committee the Chairman or, in case of obstruction, the Vice-Chairman is to convene a meeting. The meetings are to be convened with a deadline of one week. Shorter deadlines are possible, if all members of the Committee agree on the appointment.
- i. The treasurer must continuously monitor the financial situation of the Society. He creates the annual financial reports at the end of the year. At the request of the Committee, the treasurer compiles an interim report. The Treasurer must present a written report on the financial accounts at the ordinary Members' Assembly.
- j. All holders of Society offices are volunteers, unless a decision of the Members' Assembly or the Statutes determine otherwise.
- k. Holders of Society offices receive reimbursement of costs for proven expenses.

(5) Special tasks of the Committee

In addition to the general tasks related to the management of the Society, the Committee has to perform the following special tasks:

- a. to appoint the Breeding Director;
- b. to compile the report on the annual financial accounts in cooperation with the Treasurer at the end of the year;
- c. to propose the height of annual fees and admission fees as well as other charges to the Members' Assembly for decision-making;
- d. to affiliate and exclude members;
- e. to appoint commissions for certain tasks, in particular the appointment of the registration commission in cooperation with the Breeding Director;
- f. to hire personnel, to monitor their work, to determine remuneration and to dismiss personnel if necessary;
- g. to convene the Members' Assembly and to specify date, place and agenda;
- h. to grant or withdraw the title "Regionalvertretung des Friesenpferde-Zuchtverbandes (FPZV) e.V." (Regional Representatives of the FPZV);
- i. to honor
 - the long-term voluntary activity of members,
 - the long-term work as a member of the Committee,
 - outstanding breeding as well as
 - sporting achievements.

A.10.3 The Extended Committee

The extended Committee consists of the Committee and

- a) the Breeding Director,
- b) representatives of associations, interest groups within the FPZV or regional representatives,
- c) one delegate of each association belonging to the FPZV.

A.11 Commissions of the Society

The Committee forms and dissolves commissions, in particular a breeding commission, to which it may delegate parts of its tasks.

A.12 Breeding Management

- (1) The Committee, after being granted permission to do so by the recognition authority, appoints a Breeding Director responsible for the breeding work and supervision of how the stud book is kept. The Breeding Director is also responsible for a proper breeding work in accordance with the provisions of the animal breeding laws in the current version.
- (2) The Breeding Director is responsible for the breeding work. He is appointed by the Committee. The Breeding Director participates in the planning of necessary breeding measures and carries them out after consulting and decision-making by the Committee. For this purpose, he employs the staff and institutions of the Society. His tasks are compiled in a separate contract.
- (3) The Breeding Director is entitled to participate in all Committee and commission meetings as well as in the Members' Assembly.
- (4) The Committee is entitled after consulting with the Breeding Director to transfer the management of the Stud Books to an appropriate third party, which shall guarantee the proper keeping of the Stud Books and shall be liable to the Breeding Director.

A.13 Society Regulations

The Society may employ Society regulations to regulate intern processes. Society regulations are not part of the Statutes. The Breeding Program of the functional field of activity has the status of a Society regulation. The Members' Assembly (the Committee and possibly breed advisory board) is responsible for the decree, the amendment and the repeal of the Breeding Program This is decided by a simple majority. Changes will be announced on the homepage of the FPZV ([www. Friesenpferde-zuchtverband.de](http://www.Friesenpferde-zuchtverband.de)).

A.14 Disbanding of the Society

- (1) The disbanding of the Breeding Society can only be proposed by the Committee.
- (2) The decision on the proposal is at the sole discretion of the Members' Assembly, which is to be convened exclusively for this purpose.
- (3) The resolution to disband the Society requires a three-quarters majority of the votes cast. If this majority is not achieved, a resolution may be adopted by the Members' Assembly, specifically convened for this purpose within a period of 6 weeks, with simple majority of the votes cast.
- (4) In the event of disbanding of the Breeding Society, discontinuation of the purpose of the Society, or discontinuation of tax-deductible purposes, any assets of the Breeding Society which may still exist shall be transferred to the German Equestrian Federation (department breeding) with the intended purpose to support German horse breeding according to A.2 of the Statutes.

B. Fundamental Breeding Provisions

B.1 Fundamental Principles

- (1) The Breeding Society operates according to the regulation of the Directive (EU) 2016/1012 as well as relevant regulations of European law and regulations governing animal breeding, animal rights and veterinarian regulations as determined by German federal and state laws.
- (2) As a Filial Breeding Book Society the FPZV complies with the fundamental principles of the Stud Book of Origin of the Association of the Stud Book of Origin unless those fundamental principles conflict with animal rights laws.
- (3) The Breeding Society, as a member of the FN, adopts the regulations of the ZVO in accordance with the FN's statute and its breeding programs, unless they conflict with regulations determined by the Stud Book of Origin.
- (4) Furthermore its operations are based on the recommendations and guidelines provided by the Deutsche Reiterlichen Vereinigung e.V. (FN). The Breeding Society bindingly commits itself to the "Leitlinien Tierschutz im Pferdesport" issued by the German Federal Ministry of Food, Agriculture and Consumer Protection, the "Ethischen Grundsätze des Pferdefreundes" and the "Resolution zur reiterlichen Haltung gegenüber dem Pferd/Pony"

issued by the FN, as well as orientating itself towards the “Richtlinien für Reiten und Fahren” issued by the FN.

- (5) If the Breeding Society changes guidelines and resolutions affecting the breeding program, its members and relevant authorities ought to be informed immediately and if required, said changes ought to be approved by relevant authorities.
- (6) Additional fundamental principles of operation are contractual regulations between the Breeding Society and third parties, mentioned in the breeding programs.

B.2 Duties of the Society

- (1) The duties of the Society are carried out in accordance to the provisions stated in this statute and the individual breeding programs.
- (2) The duties of the Society include:
 - Establishing and implementing breeding programs for breeds of the content related field of activity.
 - Communicating with breeding societies or organizations keeping stud book of origin. This duty may be transferred to a third party.
 - Keeping stud books for breeds of the content related field of activity,
 - Ensuring the identity of all horses registered in the stud books,
 - Issuing equine passports including animal breeding certificate and pre-stud book certificates as well as the corresponding ownership certificates,
 - issuing animal breeding certificates for breeding materials (semen, ovules, embryos) as well as
 - advising breeders
 - identifying and marking foals that are to be registered

B.3 Content-related and Geographical Scope of Activity of the Society

B.3.1 Content-related Scope of Activity

The content-related scope of activity is published on the homepage of the breeding society.

B.3.2 Geographical related Scope of Activity

The geographical scope of activity is described in the breeding program.

B.4 Fundamental Provisions concerning the Breeding Programs

- (1) Following the approval by the relevant authority, the society carries out the breeding programs at its own responsibility and competence.
- (2) The breeding programs include all measures suitable for achieving breeding progress with regards to the respective breeding aim. This includes the evaluation of selection criteria (evaluation of external appearance, performance, fertility and health), the evaluation of breeding value as well as the entry in the respective sections and divisions of the stud book based on the

evaluation criteria as well as age and/or sex. In the evaluation of the breeding value results of other breeding societies and organizations can be considered in addition to the societies own population results.

B.5 Minimum Information to be included in the Stud Book

- (1) A separate stud book is kept for every breeding program of a breed of the content related field of activity, which must contain all breeding and animal welfare related data for each horse. All changes to pedigree and performance related data must be documented.
 - a. Name, address (if known), email address of the breeder as well as the proprietor/owner and the keeper, if applicable
 - b. Last covering date of the dam
 - c. Date of birth (if known), name, breed, sex, color, markings and special identification features, if applicable
 - d. Life number (15-digit UELN), code of the country of birth
 - e. Active identification (transponder as well as breed and number brand, if applicable)
 - f. Stud book category (division, selection) in which the horse is registered
 - g. Parents name, color, life number (15-digit UELN if known or a 15-digit FN register number and stud book category (division, selection)
 - h. All generations of ancestors known to the breeding society including life number (15-digit UELN if known or a 15-digit FN register number)
 - i. Date of issuance of the equine passport including animal breeding certificate
 - j. Evaluation of external appearance including indication of date, all results of performance test (if known) as well as current breeding value estimates including indication of date (if available)
 - k. Show and premium awards
 - l. Date and (if known) cause of death
 - m. Results of the pedigree test (DNA test number or blood type) including indication of date
 - n. Information on twin birth
 - o. For breeding horses originating from an embryo transfer, the genetic parents and their blood type or DNA-profile according to ISAC standard, required for verifying the identity and pedigree of their descendants as well as the recipient animal
 - p. For breeding horses whose semen is designated for artificial insemination, the blood type or DNA-profile according to ISAC standard, required for verifying the identity and pedigree of their descendants
 - q. Results of genetic tests in accordance with the breeding program
 - r. Decisions about entries and changes in the stud book including indication of date
 - s. If permitted in the breeding program: for cloned breeding horses, the genetic and biological parents as well as the testing results required for verifying their identity and pedigree of their descendants
- (2) In addition, all changes to the specifications concerning the above-mentioned numbers 3-7, 12, 13 and 19 are to be documented.

B.6 Fundamental Provisions concerning Classification of the Stud Book

Stallions and mares are registered in separate sections and divisions in the stud book. Separation into sections is based on the information regarding the pedigree, separation into divisions is based on the characteristics of the horses.

B.7 Fundamental Provisions concerning the Keeping of the Stud Book

- (1) The stud book is kept by the society. For this purpose, the society employs the service of the TG-Verlag Gießen in accordance with the contractual agreements between the two parties.
- (2) Furthermore, the stud book is kept by the society in accordance with the animal breeding regulations and based on data and information provided by the breeder, determined throughout performance tests and breeding evaluations. TG-Verlag operates on behalf and according to the society's instructions and makes the stud book data available to the society.

B.8 Fundamental Provisions concerning Entry in the Stud Book

- (1) Entry of a breeding horse in the adequate sections and divisions of the breeds stud book is conducted in accordance with the regulation (EU) 2016/1012, section 1, and if the horse is unequivocally identified by the Society in accordance with its own provisions stipulated in these statutes. At the time of the entry requirements in respect to pedigree and selection criteria for the respective division must be fulfilled.
- (2) In exceptional cases, following the identification of the horse, it can be registered without evaluation. Exceptional cases can mean diseases or acute injuries of the horse which do not allow for an objective evaluation of the horse.
- (3) Deceased mares can be entered subsequently, i.e. after their death. The subsequent entry exclusively serves the purpose of issuing an animal breeding certificate for the last-born foal.
- (4) Breeding horses of other populations or societies are entered on application with their previously registered pedigree data and, under consideration of the performance data, in the corresponding division of the stud book.
- (5) Entry in the stud book is to be revoked by the society if at least one of the conditions for entry was not met.
- (6) Entry in the stud book is to be revoked by the society if at least one of the conditions for entry is not met subsequently or if the entry was connected to requirements the owner did not fulfill or did not fulfill on time.
- (7) The owner of the horse in question may submit an appeal in written form against the entry decision to the society office within a period of 4 weeks. Reasons for the appeal are to be substantiated in writing. The executive board consisting of the Breeding Director, the Chairperson and his deputy decides on the acceptance of the appeal.

- (8) If the appeal is accepted, the same executive board decides on the composition of a new evaluation commission, whereas all members except the breeding director and the head of the commission are appointed anew. In the case of stallions and mares, it decides on a date and place for a representation.
- (9) Excluded or retired breeders are no longer featured in the Stud Book.

B.9 Fundamental Provisions concerning Issuing of Equine Passport incl. Animal Breeding Certificate/Pre-Stud Book Certificate and Certificate of Ownership

B.9.1 Equine Passport incl. Animal Breeding Certificate/Pre-Stud Book Certificate

Application for issuance of the equine passport incl. animal breeding certificate

- (1) When registering the birth of a foal, the breeder applies for issuance of the equine passport incl. animal breeding certificate for the foal as well as identification and marking.
- (2) The equine passport incl. animal breeding certificate serves as an identification document according to Viehverkehrsordnung (Livestock Movement Order) and must be issued for all registered foals.
- (3) Upon application of the owner or following the registration of the foal by the breeder, the Society, carrying out an approved Breeding Program and registering the animal in its Stud Book, issues the equine passport incl. animal breeding certificate according to articles 30 and 32 of Regulation (EU) 2016/1012 in conjunction with Implementation Regulation (EU) 2015/262.
- (4) If the respective breeding program includes performance tests and/or breeding evaluations the equine passport incl. animal breeding certificate for breeding horses must include the following information:
 - all results of the performance tests and/or
 - current results of breeding evaluations
- (5) Alternatively, a website can be referred to where the results are published, if the website is indicated on the animal breeding certificate.
- (6) Genetical defects and anomalies, in accordance with the respective Breeding Program, are to be included in the equine passport and published for stallions if breeding evaluations are included in the respective Breeding Program (see also B.14).
- (7) Furthermore, information regarding slaughter of the horse is to be included in the equine passport and Stud Book.
- (8) According to the provisions of the Breeding Program an animal breeding certificate for a breeding horse can be issued as evidence of pedigree or birth certificate. It is based on the registration of the parents in the Stud Book. For mares and stallions, registration of the mare and the stallion is valid at the latest in the year of the foal's birth (up to and including 12.31. of the year in question).

B.9.2 Certificate of Ownership

The certificate of ownership is issued with an identical life number (UELN) in addition to the equine passport including the animal breeding certificate and contains not less than the following information:

- (1) Life number of the horse (15-digit UELN)
- (2) Name of the horse
- (3) Breed
- (4) Sex
- (5) Color
- (6) Date of birth
- (7) Name and address of the breeder
- (8) Active identification (transponder number as well as breed and/or number brand, if applicable)
- (9) Pedigree of three generations (if available)
- (10) Name, address as well as stamp of the issuing Society
- (11) Date of issuance and signature of the signatory

B.9.3 Procedural Instructions for Equine Passport incl. Animal Breeding Certificate and Certificate of Ownership

- (1) Only the animal keeper registered in the Stud Book of the Society/owner of the horse are eligible to request the issuance of the equine passport incl. animal breeding certificate, confirmation of registration and/or certificate of ownership.
- (2) The equine passport and the certificate of ownership belong to the horse and remain property of the issuing Society/issuing body and can be retracted for important reasons, e.g. if they contain false or incomplete information. The certificate of ownership is held by the owner of the horse in accordance to the BGB (German Civil Code).
- (3) On request, the breeder is required to hand out the equine passport incl. animal breeding certificate, confirmation of registration and/or certificate of ownership.
- (4) In the case of change of possession, the equine passport is to be handed over to the new possessor.
- (5) In the case of change of ownership, the equine passport and certificate of ownership are to be handed over to the new owner.
- (6) In the case of death, killing, theft, loss or slaughter of the horse, for the purpose of epidemic control, the equine passport as well as the certificate of ownership are to be returned to the issuing Society/issuing body, unless the equine passport is destroyed under official supervision at the slaughterhouse. The Society is to be informed about the death of the horse.
- (7) If a horse is presented for registration in a Stud Book of a Society without an animal breeding certificate included in the equine passport and the requirements for registration are met, the relevant section of the equine passport is to be filled out in the course of the Stud Book registration.

B.9.4 Copies/Duplicates

- (1) Issuance of copies of equine passports incl. animal breeding certificates is carried out in accordance to Implementation Regulation (EU) 2015/262.
- (2) A copy of evidence of pedigree, a birth certificate as well as an equine passport (incl. animal breeding certificate) and a certificate of ownership can only be issued on application of the person who lost the original document(s), on presentation of a statutory declaration attested by a notary confirming loss of the original document(s). A copy can only be issued by the Breeding Society which issued the original documents. Copies are to be marked clearly as such and to be numbered.

B.9.5 Issue of identification documents for equids imported into the EU

For equids imported into the EU, registration of already existing identification documents or the issuance of an equine passport including animal breeding certificate, is conducted according to Article 15 Implementation Regulation (EU) 2016/2062.

B.10 Provisions concerning Animal Breeding Certificates for Breeding Material

Animal Breeding Certificates according to Regulation (EU) 2016/1012 are also issued for the donation of breeding material if the donor animal is registered in the Stud Book of the Society. In this context the Breeding Society makes use of the exemption allowed under Article 31 (2) b.

B.11 Identification

Identification of horses by the Society is carried out according to Implementation Regulation (EU) 2015/262 using the following methods:

B.11.1 Data Collection

For identification of a horse, at least the following data is recorded:

- Sex
- Date of birth
- Genetic parents including life number (UELN)
- Description of color and markings
- Completion of the markings diagram

B.11.2 Active Identification

All foals must be actively marked for identification, according to the Viehverkehrsverordnung in conjunction with Implementation Regulation (EU) 2015/262. A transponder is mandatory for active identification according to the Viehverkehrsverordnung (Article 18 Implementation Regulation (EU) 2015/262). As an additional optional active marking, the foal can be marked by means of a brand-mark.

B.11.2.1 Transponder

Transponders required for identification are issued by the Society and must be encoded according to Implementation Regulation (EU) 2015/262 in conjunction with § 44 Viehverkehrsverordnung.

B.11.2.2 Foal Brand (Breed Brand Mark and Number Brand)

- (1) Foal Brands are allocated by the Society on application by the breeder normally in the year of birth. Branding is only allowed on the thigh of the left hindleg.
- (2) Only persons commissioned by the Society are permitted to brand horses. Exceptions require the consent of the Breeding Director. Prior to the branding, the person commissioned to brand the horse must ascertain the identity of the foal with absolute certainty by checking all documents relating to the foal. A potential re-branding also requires the consent of the Breeding Director.
- (3) The branding of a foal is conducted on the day of the foal examination. Dates for Society Events are set and published by the Society for foal examinations with branding and Mares' Book registration. The society strives to evaluate as many horses as possible on a single Society Event, to ensure well-founded information and advice for all breeders by comparing the maximum amount of horses. Therefore, Society Events (except for Stallion Licensing Events) normally require a minimum of six horses to be evaluated to take place. *mustern*
- (4) If necessary (and for valid reasons), consultations, foal examinations and Mares' Book registrations can be carried out on yard or individual dates. Travel expenses and daily subsistence allowances are to be borne by the breeder concerned.

- (5) Branding foals is only carried out in member countries which allow horse branding.

B.11.3 Allocation of the UELN (Unique Equine Life Number)

- (1) Every breeding horse born in a member state is assigned a UELN at the time of initial registration. At the latest, horses which have not been assigned a UELN, must receive one upon entry in a Stud Book. The UELN is an international and EU-wide standardized life number.
- (2) The UELN consists of 15 digits, which are composed alphanumerically and are structured as described in the Breeding Program:
- (3) The UELN does not change throughout the lifetime of a horse and is also maintained even when entering the horse in another Stud Book.
- (4) UELN of horses born abroad are to be kept upon entry into the Stud Book.
- (5) If horses that have not yet been assigned a UELN are entered in the Stud Book of the Society, they are assigned a UELN by the Society, irrespective of the horse's origin. For ancestors in the pedigree of such horses that have not been assigned a UELN, a UELN compatible FN registration number will be assigned. The tasks of researching and assigning a FN registration number is carried out by the Breeding Section of the FN on behalf of the Breeding Society.

B.12 Assurance of Identity / Parentage Verification testing

B.12.1 Methods of parentage verification testing

- (1) Following methods of parentage verification testing are used by the Society:
 - a. DNA-typing according to ISAG-standard
 - b. Pedigree assessment of a gen laboratory with accreditation according to DIN EN ISO/IEC 17025:2005
 - c. DNA-profile comparison
- (2) Parentage verification tests are carried out routinely, risk-oriented and event-based by the Society.
- (3) The Breeding Society or the Breeding Director are entitled to carry out further parentage verification tests according to B 12.1 a) and b), in particular if the pedigree in question was not confirmed.

B.12.2 Measures in the case of apparent deviations from the pedigree

- (1) In case deviations from the stated pedigree are detected, efforts will be made to determine the actual pedigree of the possible parents. On clarification the pedigree will be corrected in the Stud Book and the equine passport incl. animal breeding certificate.
- (2) In case the pedigree cannot be clarified, the pedigree will be withdrawn. Breeding animals registered in the Main Section of the Stud Book of their breed will be deregistered. The information in the equine passport incl. animal breeding certificate and the Stud Book will be corrected.

- (3) The costs for the pedigree test and possible reissuance of the equine passport incl. animal breeding certificate and certificate of ownership are to be borne by the breeder, if the pedigree is found to be incorrect.

B.12.3 Measures in the case of apparent deviations from the pedigree and non-participation in randomly conducted parentage verification tests

- (1) If a breeder fails to conduct a parentage verification test within a time limit set by the Society or if the pedigree is found to be incorrect, the pedigree of the horse in question is immediately withdrawn. In case of intentionally or grossly negligent violations of their due diligence in the context of parentage verification a member may be excluded from the Society.
- (2) Incorrect pedigrees are corrected in the Stud Book. This applies independently of the time or extend of the detected error and includes pedigree data itself as well as the consequential changes in the Stud Book.

B.12.4 Breeding Documentation

- (1) A DNA profile or verification results of other characteristics to validate the identity are kept by the Society.
- (2) Detected deviations within the context of a pedigree test are recorded and in addition to all documents relating to the pedigree test kept by the Society for a minimum of 10 years.

B.13 Breeding Documentation

In order to guarantee an orderly breeding work of the Society, every full member is obliged to contribute in accordance with these Statutes or contractual terms for contractors (only applies if the Society demands so), statutory regulations as well as the Breeding Program. In particular, breeders have the following obligations:

B.13.1 Records of breeding establishment (breeding documentation)

- (1) Every breeder keeps a Stable Book for the breeding horses of his stock (hand-written or in electronic form), in which, according to legal regulations and the particular Breeding Program, all relevant information for a horse in question including pedigree as well as all current data are recorded. Every breeder is required to present the Stable Book to the Breeding Director or one of his representatives on demand for the purpose of reviewing. In order to guarantee an orderly Stud Book keeping, it is necessary to provide representatives of the Society with information and to allow inspection of the breeding documents including the Stable Books.
- (2) The breeding documentation is to be carried out in a timely and impeccable manner. Commissioning a third party to keep the breeding documents does not relieve the breeder from his responsibility for correctness of the entries. Corrections are to be made by means of deletion and have to be confirmed

- with the date and a signature.
- (3) The breeding documentation is to be kept for a minimum period of 5 years following the last entry.
 - (4) Measures in case of incorrect breeding documentation:
 - The breeder is given a billable warning as well as a request to correct or complete the documentation
 - If deviations concerning the pedigree are detected, an investigation according to B.12 of these Statutes is to be conducted.
 - Violations are documented, records are kept for a period of 10 years in the Society Office.

B.13.2 Responsibility of the stallion keeper

Stallion keepers of the Society are obliged to keep their stallions in such a way that infringements of these Statutes as well as the breeding program are ruled out. In case of infringements, the breeding director must inform the Committee immediately, which will then decide on appropriate measures according to these Statutes. The same applies if the stallion keeper informs the mare owner inappropriately or neglects hygiene regulations or fundamental principles of stallion keeping in any other way. The stallion keeper is obliged to inform the mare owner, to the best of his knowledge, about disease-relevant genetic defects according to the respective Breeding Program.

B.13.2.1 Covering list

- (1) Every stallion keeper is required to record all coverings for each stallion and calendar year in the form of a list and present the list to the Society on 10.31. of every calendar year.
- (2) In case the deadline is not met following consequences take place:
A fee according to the schedule of fees of the Society is demanded if the list was presented belatedly.

B.13.3 Notification of insemination/covering (covering certificate)

- (1) Following the covering, the stallion holder must fill out and sign the covering certificate, a form provided on the Society's website. The stallion holder provides the owner of the covered mare with a copy of the covering certificate which is to be kept until the mare has given birth. The copy serves as a basis for the notification of the birth of the foal (Number B.13.4).
- (2) Covering certificates issued by other Breeding Societies, recognized according to animal rights laws, are accepted if at least the following information is included:
 - Deckstelle mit Name und Anschrift,
 - Name, UELN and Stud Book category (section, division) of the mare
 - Name, UELN and Stud Book category (section, division) of the stallion
 - Date of all coverings/insemination

- Type of covering (covering at the pasture, live cover, artificial insemination (fresh semen or frozen semen), embryo transfer) and information according to the semen regulation.
 - Name and address of the mare owner
 - Name and HITier-number of the stud keeper
 - Signature of the stallion keeper or his representative
 - Signature of the inseminating veterinarian (in case of insemination)
- (3) Information according to the covering list (B.13.2.1) and the covering certificate must be identical otherwise the stallion keeper is obligated to correct the incorrect information.

B.13.4 Notification of the birth of a foal

- (1) Within 28 days following the birth of the mare the mare owner has to send a filled-out copy of the covering certificate (B.13.3) as a notification of the birth of the foal to the Society. A notification of the birth of a foal is also required if a foal is still born or dies shortly after birth. The breeder is obligated to report all lethal defects to the Breeding Director. A fee according to the schedule of fees of the Society is demanded if the notification was sent belatedly. Furthermore, the Society requests a parentage verification test according to B.9.1.1 or B.9.1.2.
- (2) Transmitting the notification of the birth of the foal online is also possible under the aforementioned conditions.
- (3) The notification of the birth of the foal has to contain at least the following information:
- Date of birth
 - Place of birth
 - Sex
 - Fundamental color as well as markings, if applicable
 - If applicable, information about still birth, birth of twins or death shortly after birth
 - Signature of the mare owner (except in the case of online notification)

B.13.5 Alteration of breeding data and Stud Book entries

All changes and additions concerning breeding data, color and markings, change in ownership, results of performance tests and other breeding relevant information, as well as the loss of a transponder, are to be communicated to the Society Office immediately by the horse owner, in written or electronic form, without a special request to do so. Every change is to be documented by the Society in the Stud Book. If required by law, changes are to be documented in the equine passport and Hi-Tier.

B.14 Control of Genetic Defects

- (1) Disease-relevant genetic defects or genetic anomalies are considered in the Breeding Program of the Society.
- (2) Furthermore, before mating two breeding animals, the stallion keeper is obliged to inform the breeder about the genetic status of the selected stallion with regard to known and relevant genetic defects or anomalies. The stallion holder is obliged to provide information.
- (3) According to the Breeding Program, genetic defects and genetic anomalies must be documented in the equine passport and published by the Society as a part of the breeding evaluation.

B.15 Fundamental Provisions concerning the Evaluation of Breeding Horses

- (1) Breeding horses are evaluated according to the selection criteria defined in the Breeding Program. Each selection criterion is evaluated as a partial score. The evaluation is generally conducted on a Society event (licensing, mare shows, performance tests etc.) to ensure the comparability of a sufficient large number of horses. In exceptional duly substantiated cases, particular regarding entry of mares, an evaluation can also be conducted in a context other than a Society event. The minimum age for evaluation is regulated in the Breeding Program.
- (2) Unless regulated otherwise in the Breeding Program, the evaluation of breeding horses is conducted in full or half scores according to the score system stipulated in § 57.1.2 of the Rules for Competitive Events (LPO) of the Deutsche Reiterliche Vereinigung e.V. (FN):

10 = excellent	5 = sufficient
9 = very good	4 = deficient
8 = good	3 = quite poor
7 = fairly good	2 = poor
6 = satisfactory	1 = very poor
- (3) If the result of the evaluation is presented as a final score, it represents the arithmetical average of the partial scores of the evaluated selection criteria, rounded to a single decimal point.
- (4) In addition, a description according to the linear description evaluation method, which is common in horse breeding, is possible.

B.16 Licensing

Licensing is the initial selection decision of a Breeding Society for stallions in accordance to the Breeding Program.

B.16.1 Authorization

The application is to be submitted in written or electronic form to the Society's office. To ensure an orderly conducted licensing event, a pre-selection for stallions registered for licensing can be conducted. The minimum age of stallions for authorization to take part in licensing and further requirements for licensing are stipulated in the respective breeding program.

B.16.2 Evaluation of suitability for breeding

The evaluation of suitability for breeding is carried out by a veterinarian (specialized for horses) in the course of a veterinarian examination. Characteristics for breeding suitability are examined in accordance to Appendix 2 of the Breeding Program.

B.16.3 Evaluation and determination of the results

- (1) The evaluation of stallions in the course of licensing is carried out in accordance to the fundamental principles of B.15 by the Licensing Commission.
- (2) Determination of the results
The overall score is calculated based on the partial scores "exterior" (arithmetic mean of the first three criteria) and "basic gaits" (arithmetic mean of the weighted scores, walk (x 1.2), trot (x 1.0) and canter (x 0.8)). The partial score "exterior" is weighted at 40, the partial score "basic gaits" at 60% when calculating the overall score. The overall score is rounded to a single decimal point.

B.16.4 Licensing Decision

- (1) The licensing decision can be as follows:
 - Licensed
 - Not licensed
- (2) For the licensing decision "licensed" the minimum criteria according to the Breeding Program must be fulfilled. The licensing decision is announced publicly at the licensing event. The licensing decision "licensed" is documented in the equine passport incl. animal breeding certificate and the Stud Book.
- (3) The licensing decision "not licensed" applies if the stallion does not meet all requirements in respect to the final score or one or several partial scores and/or does not meet the requirements in respect to suitability for breeding. In case the requirements for suitability for breeding are met, the stallion may be re-represented for licensing again.
- (4) The breeder is to be informed about the licensing decision in writing. The licensing decision "licensed" is documented in the stallion's evidence of pedigree after the stallion has been evaluated at a licensing event.
- (5) Licensing events can be held in cooperation with a Breeding Society, recognized according to animal protection laws.

B.16.5 Medication controls

- (1) Stallions are not qualified for licensing/pre-selection and, if necessary, will subsequently be excluded, if prohibited substances according to the lists and implementation regulation of the FN Rules for Competitive Events (LPO) (Part C Legal Regulations – FN Anti-Doping und Medikamentaionskontroll-Regeln für den Pferdesport – ADMR) have been administered, or if they have been treated according to a prohibited method or have been subjected to any kind of surgical procedures or manipulation to influence performance, performance ability or ability to perform. The Licensing Commission/Pre-Selection Commission is entitled to request medication controls at any time. Medication controls are conducted according to the implementation regulation of the LPO (Part C Legal Regulations – FN Anti-Doping und Medikamentaionskontroll-Regeln für den Pferdesport – ADMR).
- (2) Stallions are not qualified for licensing/pre-selection and, if necessary, will subsequently be excluded, if they have been positively tested for a prohibited substance, a prohibited method or a prohibited surgical procedure to influence performance according to subsection 1 in the same or another Breeding Society or Equestrian Society within a period of 3 month (12 month for anabolic substances) prior to the licensing/pre-selection.

B.16.6 Withdrawal, revocation, objection

- (1) The licensing is to be withdrawn if a condition for licensing was not fulfilled. If the party concerned can be accused of willful deception, providing incorrect and incomplete information or consequences of gross negligence, the licensing reversed, and the stallion's status is set to the status prior to the positive licensing.
- (2) The licensing is to be revoked if a condition for licensing subsequently are not fulfilled any longer. It can be revoked if the licensing was linked to conditions the party concerned failed to meet or failed to meet in time. In case of a revocation a reversal does not take place.
- (3) The owner of the stallion in question may submit an objection to the licensing decision according to A 15 to the Society Office. Reasons for the objection are to be substantiated in writing. The deadline for an objection is two weeks following the announcement of the licensing decision. The responsible body of the Breeding organization decides on the acceptance of the objection. If the objection is accepted, the responsible body decides on the composition of a new licensing commission. It also decides on a date and place for a re-presentation.
- (4) The licensing is to be revoked and the respective Stud Book entry reversed in case positive evidence concerning medication or manipulation is found. The owner of the stallion in question may submit a written objection to the revocation to the Breeding Society, c/o Society address. The deadline for an objection is two weeks following the decision. The objection is to be substantiated within a further week. As an advance payment, a sum of money is to be set by the relevant Breeding Society and either paid directly or secured by the end of this additional week at latest.

B.16.7 Licensing at the breeder's yard

In exceptional cases, licensing can be conducted at the breeder's yard. A commission (see A.11.1) is appointed by the Executive Board for this purpose. The costs of the procedure are borne by the stallion keeper.

B.17 Premium Distinctions awarded by the Society

Awarding of Premium Distinctions by the Society is regulated under 17.5.3.

B.18 Fundamental Provisions concerning Performance Test and Breeding Evaluation

B.18.1 Performance test

B.18.1.1 Recognition of test results

- (1) Results of performance tests conducted according to current Animal Breeding Law, the current Rules for Competitive Events (LPO) of the German Equestrian Federation (FN) and/or the regulations of the Federation Equestre Internationale (FEI) will be recognized.
- (2) Results of national competitive sport events / horse performance shows held outside Germany will be recognized if they can be considered as equivalent tests.
- (3) Conditions specific to the breed regarding the own performance test are stipulated in the breeding programs of the individual breeds.

B.18.1.2 Responsibilities for test forms

Within the Society stallions, mares as well as geldings can participate in performance tests either conducted by the Society or other organizations or test centers. The Society concludes contracts, which are considered the basis for the organization and execution of the tests, with test centers or organizations commissioned with conducting the tests. Compliance with the contractual agreements as well as the correctness of the execution is monitored by the organization in the course of controlling procedures.

B.18.2 Breeding evaluation

- (1) All data collected via performance tests in the course of the breeding program must be made available to the Breeding Society without undue delay and free of charge by members of the Society and commissioned third parties. The data transfer can also be carried out directly to the commissioned parties (TG-Verlag, Gießen) responsible for the breeding evaluation.
- (2) Following the examination of the validity of the data submitted, TG-Verlag carries out the breeding value evaluation based on the recorded characteristics and in accordance with the procedures validated by the responsible

bodies, and in accordance with the guidelines of the Breeding Society in collaboration with the competent organization (FN).

B.19 Controlling

The organizations commissioned by Breeding Society to conduct performance tests and breeding evaluations are continuously monitored by the Breeding Society to ensure the reliability of the performance tests and breeding evaluations. The applied controlling procedures are stipulated in corresponding agreements with the organizations.

B.20 Legal Validity

The Statutes with provisions regarding the Society as well as fundamental provisions regarding animal breeding law were adopted at the Members' Assembly of 03.10.2018 and take affect following the approval of the responsible authority and registration with the registry court on DD.MM.YYYY.